

Research Note

Holistic Approach to Optimize Indian Dredging Practices

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Abstract: This research note explores the challenges and methodologies of dredging in India's tropical coastal regions. Influenced by seasonal monsoon winds and significant sediment contributions from the Himalayas, these areas require careful management. Dredging is crucial for maintaining navigational channels and infrastructure, but it poses distinct environmental challenges. The study emphasizes the need for comprehensive planning, stakeholder analysis, and sustainable practices. Marine Spatial Planning (MSP) is highlighted for its ability to integrate diverse perspectives and promote cooperation. Assessing sediment flow patterns can optimize dredging schedules and reduce maintenance frequency. Technological advancements, such as unmanned hydrographic survey vessels and AI/ML applications, enhance monitoring and execution of dredging projects. Sustainable sediment disposal methods, like Confined Aquatic Disposal (CAD), are necessary to minimize environmental impact. In conclusion, sustainable dredging in India requires a balance between economic growth and environmental conservation. By adopting integrated approaches and advanced technologies, India can protect its coastal ecosystems while supporting trade and infrastructure development.

Introduction:

The most distinctive climatic feature of the Indian Ocean is the seasonally changing wind patterns in the northern Indian Ocean region known as monsoons^[1]. Most of the rainfall on the Indian subcontinent occurs during the southwest monsoon. Meltwater from snow also contributes significantly to river runoff from the Himalayas. The Himalayas, with the highest relief of the world's mountain chains, are singularly important in supplying large amounts of sediments to the Indian Ocean^[2]. The Ganges-Brahmaputra River system, draining the Himalayas, alone supplies 2,200 x 10⁶ tons, and the Irrawaddy River about 300 x 10⁶ tons^{[3][4]}.

As India continues to grow economically, trade through waterways becomes increasingly essential. Dredging is a crucial activity for maintaining and developing navigational channels, harbours, and coastal infrastructure^[5]. In tropical regions, such as India, the unique environmental conditions significantly influence sediment dynamics, posing distinct challenges and opportunities for dredging operations.

Dredging in tropical regions faces several unique challenges, including environmental sensitivity, where rich and diverse ecosystems such as coral reefs, mangroves, and seagrass beds can be adversely affected, increasing turbidity and potentially releasing contaminants trapped in sediments. The climatic and weather conditions, particularly monsoons, heavy rainfall, and storms, can complicate operations and damage equipment⁶. Technical and logistical issues arise from remote locations and inadequate infrastructure, making transport and operations difficult. Regulatory and permitting challenges involve navigating complex environmental laws and ensuring community engagement. Economic considerations include the high costs of specialized equipment and the difficulty of securing funding, especially in developing countries. In conclusion, there is no universally ideal method for dredging in tropical regions.

According to the Indian government's guidelines for major ports, the deployment of the dredging process involves four stages: the planning stage, selection of the dredging contractor, project execution, monitoring and control, and measurements and validation of depths^[7].

¹ Düing, W. (1970). The monsoon regime of the currents in the Indian Ocean. University of Hawaii Press.

² Holeman, J. N. (1968). The sediment yield of major rivers of the world. *Water resources research*, 4(4), 737-747.

³ Lisitzin, A. P., 1972, Sedimentation in the World Ocean, *Soc. Econ. Paleontol. Mineral., Spec. Publ.*, 17, p. 135-148.

⁴ Kolla, V., & Kidd, R. B. (1982). Sedimentation and sedimentary processes in the Indian Ocean. In *The Ocean Basins and Margins: The Indian Ocean* (pp. 1-50). Boston, MA: Springer US.

⁵ IADC. (2019, September 27). IADC The importance of Dredging. Retrieved from: <https://www.iadc-dredging.com/subject/what-is-dredging/the-importance-of-dredging/>

⁶ PIANC. (2010). Dredging and Port Construction Around Coral Reefs. Retrieved from https://www.researchgate.net/publication/257409096_Dredging_and_port_construction_around_coral_reefs

⁷ Ministry of Ports, Shipping, and Waterways. (2021). Dredging guidelines for major ports 2021. <https://shipmin.gov.in/sites/default/files/Dredging%20Guidelines%20for%20Major%20Ports%202021.pdf>

This research note aims to explore the specific challenges and methodologies associated with dredging in India's tropical coastal conditions. By examining case studies and current practices, this study seeks to identify effective strategies for sustainable dredging that balance economic development with environmental conservation.

Planning Process of Dredging

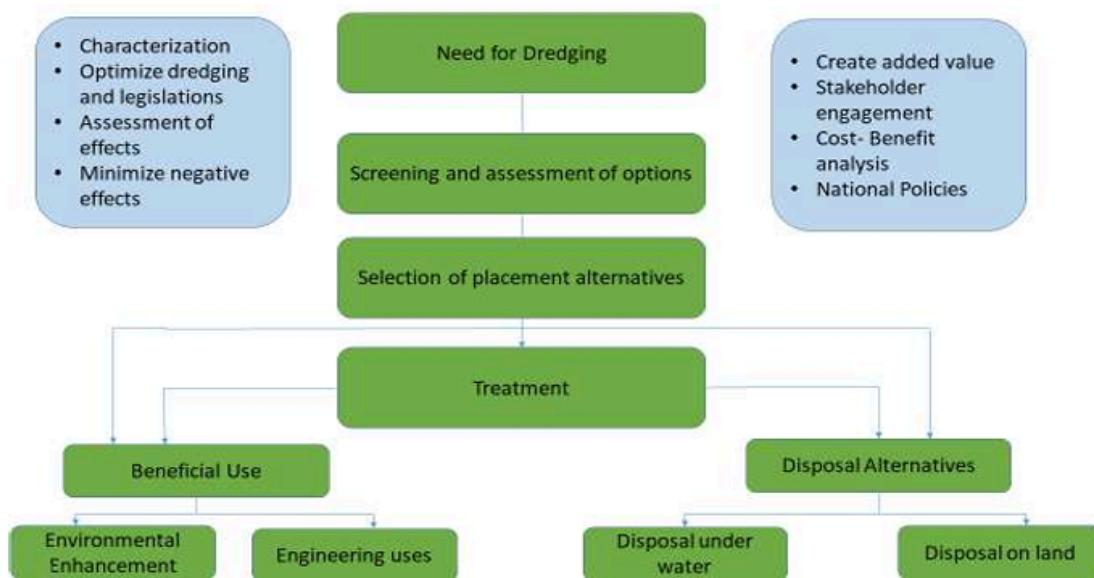


Fig1: A generic decision-making flow chart for dredging ^[8]

As per the Indian Guidelines for Major Ports, the following steps are to be taken in the planning phase of dredging ^[7]:

- Assessment of bathymetry (salinity, current, wind, bathymetry (bathymetric survey) and hydrological wind, wave etc.).
- Assessment of soil or sediment type and its characteristics.
- Possibility of reuse of the dredged sediment and overall cost benefit analysis of the options (including direct oceanic disposal).
- Selection of disposal and/or reuse site.
- Dredging quantity.
- Cost estimates.

But the fundamental step of identifying and understanding stakeholders is missing in the planning process ^[9]. As dredging has diverse effects on economy, ecology and the local communities, it is important to understand how the deployment of projects like capital dredging affects the various stakeholders that are depended on it ^[10]. Implementation of

⁸ Ministry of Ports, Shipping, and Waterways. (2021). Dredging guidelines for major ports 2021. <https://shipmin.gov.in/sites/default/files/Dredging%20Guidelines%20for%20Major%20Ports%202021.pdf>

⁹ Bray, N., & Cohen, M. (2004). Dredging for development. International Association of Dredging Companies.

¹⁰ Romit Kaware (2024, February 27). Basics of Sediment Management. Retrieved from: <https://knowledge.foundationforuda.in/index.php/2024/02/20/basics-of-sediment-management/>

UDA Framework will be helpful in facilitating the issue of lack of understanding of stakeholders. A committee or third-party team must be formed to understand the dynamics of a capital project's impact on various stakeholders.

The assessment of bathymetry can also be improved by introducing the concept of Marine Spatial Planning (MSP). One of the main benefits of MSP is that it helps to ensure that the marine environment is managed in an integrated and sustainable way^[11]. It also promotes cooperation and coordination among different sectors and stakeholders, which is particularly important in coastal areas^[12]. It further helps to ensure that the marine environment is protected and managed in a way that considers the needs and perspectives of different groups, including traditional coastal communities, recreational users, conservationists, and the business sector^[11].

From the data retrieved from annual reports of ports and harbours, it is observed that Major Ports have annual maintenance dredging contracts to remove excessive sedimentation and ensure proper depth^[13]. According to recent trends in sediment flow pattern of Indian rivers, the amount of sediment flux appears to be on a declining trajectory^[14]. This reduces the need of having regular intensive maintenance dredging at ports and harbours. So, it is recommended to understand the sediment flow pattern of a particular port before deploying an annual maintenance dredging contract. In maintenance dredging projects, the required frequency of dredging operations can be readily determined by taking periodic soundings to determine the rate of siltation that is occurring in a given area, under normal weather conditions^[15].

The methods of sustainable and efficient disposal of sediments must be developed according to our Indian conditions. Let's consider the case of Piaçaguera Channel, Brazil. The sediment dredged from the channel is proven to be unfit for direct oceanic disposal. So, the method of Confined Aquatic Disposal (CAD) is used through which the sediments are disposed without causing harm to the aquatic life^[16]. Effectiveness of such methods must be understood in the Indian waters with proper planning and calibration.

¹¹ UNESCO. (n.d.). *Marine spatial planning*. UNESCO Intergovernmental Oceanographic Commission. Retrieved June 10, 2024, from <https://www.ioc.unesco.org/en/marine-spatial-planning>.

¹² Sanskar Soni. (2023, February 19). Marine spatial planning: A holistic approach to manage marine environment. <https://digest.foundationforuda.in/2023/02/19/marine-spatial-planning-a-holistic-approach-to-manage-marine-environment/>

¹³ Ministry of Ports, Shipping and Waterways. (n.d.). Annual reports of ports. Retrieved from: <https://shipmin.gov.in/publication/annual-reports-ports>

¹⁴ Panda, D. K., Kumar, A., & Mohanty, S. (2011). Recent trends in sediment load of the tropical (Peninsular) river basins of India. *Global and Planetary Change*, 75(3-4), 108-118.

¹⁵ Bray, N., & Cohen, M. (2004). *Dredging for development*. International Association of Dredging Companies.

¹⁶ Torronteguy, M., Menegucci, J. M., Saraiva, A., Camara, F., Castro, N., Marques, A., & Coelho, L. (2018). Piaçaguera channel dredging case: Confined aquatic disposal - CAD as an alternative for the destination of sediments not available to the ocean disposal. In *Proceedings of the PIANC 34th World Congress*. Panama City, Panama.

Project Execution, Monitoring and Control

Globally, the international ports such as Rotterdam, Antwerp, Singapore adopt sustaining innovation and invention to modify their soil touching parts, dredge pumps and hydraulics etc ^[17]. International dredging contractors adopt international standards to draft tender documents based on mitigation of risk management, prepare soil model for pre-estimation in accordance to PIANC vis-à-vis utilization and reuse of dredged material for beneficial purpose, survey techniques based on IAPH / IHO requirements and CIRIA methodology for estimation of cost for dredging and reclamation projects ^[16]. So, developing equipment tailored to Indian conditions would be ideal in dredging projects.

Methods of site investigation and hydrographic survey are crucial in gathering data. There are many technological advancements in this field. For example, In Europe's second largest port, Antwerp is on trial an unmanned, autonomous hydrographic survey vessel called Echo-drone ^[18]. This implies regular measurements of the water depths at berths and at other points in order to ensure safe passage and mooring for ships and to plan the necessary maintenance dredging work. This makes it more flexible and is able to even operate in heavy shipping traffic where the Echo would be unable to go. These soundings provide a view of the current water depth at moorings and enable maintenance dredging work to be scheduled if necessary. Some of the results from the unmanned, autonomous hydrographic survey vessel include ^[17]:

- Real time depth and dredging production at data centre / port.
- Live transmission of data through telemetry to data centre / port.
- Water depths, Water levels, Currents, Waves, Turbidity, Sea bed bulk density are some of the most important parameters. Apart from the above, environmental parameters important for each port shall be identified and included in the survey plans.

Monitoring of Dredging can be done efficiently by gathering these data. Given that tropical regions are home to highly sensitive benthic ecosystems, it is crucial to closely monitor dredging activities as they can significantly impact these ecosystems by increasing turbidity and introducing other environmental stressors. Studies have shown that increased turbidity can smother benthic habitats, reduce light penetration essential for photosynthetic organisms, and disrupt the overall ecological balance ^[19].

Application of Artificial Intelligence and Machine Learning to the data generated will be useful in solving various problems that arise during the dredging process. For example, Sediment Classification can be performed using a Complex Neural Network (CNN) which can

¹⁷ Ministry of Ports, Shipping, and Waterways. (2021). Dredging guidelines for major ports 2021. <https://shipmin.gov.in/sites/default/files/Dredging%20Guidelines%20for%20Major%20Ports%202021.pdf>

¹⁸ Port of Antwerp deploys drones to detect floating debris. (n.d.). <https://newsroom.portofantwerpbruges.com/port-of-antwerp-deploys-drones-to-detect-floating-debris>

¹⁹ Erftemeijer, P. L., Riegl, B., Hoeksema, B. W., & Todd, P. A. (2012). Environmental impacts of dredging and other sediment disturbances on corals: a review. *Marine pollution bulletin*, 64(9), 1737-1765.

process Acoustic Images ^[20]. The rapid advancements in AI and machine learning (ML) significantly impact data processing by automating and enhancing various analytical tasks. AI and ML enable more granular, precise, and automated data analysis, which improves the accuracy of predictions and insights ^[21]. This technology helps organizations manage large datasets more efficiently, identify correlations, and unlock valuable insights without extensive manual data handling ^[20].

The government can control the progress of dredging process by specifying the Detailed Project Report (DPR) ^[21]. The DPR of a dredging project is the document that covers detailed deliberations of the project components such as requirement, financial viability, basis for estimations, estimated quantity, time plan, technical details of the area proposed to be dredged, alternatives, most suitable equipment (subject to tender having some flexibility) etc. It also provides the road map for implementation of the project. Therefore, the DPR needs to encompass all related aspects of the project ^[22]. The components of DPR includes: Introduction (Project background and scope), Traffic study (Traffic studies, identification of vessel and its analysis), Site Information (Location, Meteorology and bathymetry), Navigation requirements (Channel / Harbour designs), Estimation of dredging volumes, dredging methodology / Alternatives, Recycle / Reuse / Disposal of dredged material, Environmental assessment, Navigational Aids, Project Implementation Schedule (Dredging equipment and plan) and Project Economics (Capital cost estimates and financial analysis) ^[21].

Sediment Disposal

Often, dredged material is mistakenly perceived as contaminated or undesirable soil. However, this is largely a misconception. In reality, dredged material is typically a clean and reusable resource ^[23]. While some industrialized regions may have sediments affected by contaminants, the majority of dredged material is not. Where regulations permit, project developers can utilize clean dredged material effectively and economically. Sandy or rocky dredged material can serve as excellent fill for land reclamation, whereas cohesive and muddy material can be beneficial for landscaping or enhancing agricultural land ^[22].

Methods such as Pneumatic Flow Tube Mixing (PFTM) is a novel sediment solidification/stabilization technique which has been successfully used in Japan for the last decade in large scale reclamation projects utilizing stabilized soft sediments ^[24]. The product

²⁰ Erftemeijer, P. L., Riegl, B., Hoeksema, B. W., & Todd, P. A. (2012). Environmental impacts of dredging and other sediment disturbances on corals: a review. *Marine pollution bulletin*, 64(9), 1737-1765.

²¹ Kearney, J. (2023, October 16). Unleashing the Power of AI and ML in Data - DATAVERSITY. DATAVERSITY. <https://www.dataversity.net/unleashing-the-power-of-ai-and-ml-in-data/>

²² Ministry of Ports, Shipping, and Waterways. (2021). Dredging guidelines for major ports 2021. <https://shipmin.gov.in/sites/default/files/Dredging%20Guidelines%20for%20Major%20Ports%202021.pdf>

²³ Bray, N., & Cohen, M. (2004). Dredging for development. International Association of Dredging Companies.

²⁴ Maher, A., Miskewitz, R., Kitazume, M., Douglas, S., & Sarmad, A. (2018). Pneumatic flow tube mixing for processing and beneficial use of fine-grained dredged material. In Proceedings of the PIANC 34th World Congress. Panama City, Panama.

obtained from PFTM can be used for various economic activities like construction and land reclamation ^[25].

Even though there are multiple economic uses for the dredged material, a very few numbers of ports in India explored the engineering uses of the sediments ^[26]. Majority of the sediments obtained is just disposed into the ocean directly ^[25]. Each dredging operation bears unique characteristics in terms of hydrological, biological, geo-physical, geo-technical, ecological feature and requires detailed analysis of the sediment, transport logistics, local demand of the aggregates, physical characteristics of the dredging site, possibility of storage and treatment/ options of use for engineering, agriculture or environmental applications with or without treatment etc. to devise best possible reuse option or suggest combination of options. Therefore, it would be necessary that detailed assessment of each port may be carried out by the port itself or by engaging domain specialists to devise best possible solution for dredged spoil management ^[25].

A generalised categorisation of soil types and probable use is given below, which can be used to evaluate possible reuse option based on dredged sediment quality ^[25].

Beneficial Use Options	Dredged Material Sediment Type				
	Rock	Gravel & Sand	Consolidated Clay	Silt/Soft Clay	Mixture
<i>A. Engineering Uses</i>					
Land Creation	X	X	X	X	x
Land Improvement	X	X	X	X	x
Berm Breakwater Creation	X	X	X		x
Shore Protection	X	X	X		
Replacement Fill	X	X			x
Beach Nourishment		X			
Capping		X	X		x
Feeder Berm Breakwater		X		X	
<i>B. Agricultural/ Product Uses</i>					
Construction Materials	X	X	X	X	x
Aquaculture			X	X	x
Topsoil				X	x
<i>C. Environmental Enhancement</i>					
Wildlife Habitats	X	X	X	X	x
Fisheries Improvement	X	X	X	X	x
Wetland Restoration			X	X	x

Fig2: Type of sediments and their uses.

²⁵ Maher, A., Miskewitz, R., Kitazume, M., Douglas, S., & Sarmad, A. (2018). Pneumatic flow tube mixing for processing and beneficial use of fine-grained dredged material. In Proceedings of the PIANC 34th World Congress. Panama City, Panama.

²⁶ Ministry of Ports, Shipping, and Waterways. (2021). Dredging guidelines for major ports 2021. <https://shipmin.gov.in/sites/default/files/Dredging%20Guidelines%20for%20Major%20Ports%202021.pdf>

Impacts of Dredging and Strategies to tackle the problem

Environmentally, dredging is discouraged because it creates loss of ground for benthic organisms, loss of sediment, change in micro-hydrodynamics of the area leading to alteration in sediment movement characteristics, resulting into altered siltation pattern etc. The primary objective of environmental conservation is to achieve minimum disturbance to the ecosystem, and if disturbance to a place is unavoidable the efforts should be to compensate the loss and/ or restore the similar habitat at other places ^[27]. The parameters that should be monitored to ensure safe dredging are ^[26]:

- Turbidity levels in areas where sand is being discharged, taking into consideration the direction of currents and tides in relation to the natural environment.
- The amount of Total Suspended Solids (TSS) in tail water.
- Physical and chemical factors such as dissolved oxygen, pH, temperature, salinity and conductivity.
- Meteorological conditions such as wind velocity and direction, temperature and humidity, barometric pressure and precipitation rates of sedimentation and erosion.

Environmental management of marine reclamation works close to sensitive habitats may need to provide a higher level of control than in less sensitive areas. For instance, visual inspection of the mangrove areas and coral reefs during dredging activities may also be necessary in addition to other forms of monitoring. A case study on Gladstone Harbour revealed that dredging activities caused significant harm to aquatic life ^[28]. Gladstone Harbour is located adjacent to a sensitive area like the Great Barrier Reef, exacerbating the environmental impact ^[29].

Various Methods and plans are used to mitigate the impacts done during dredging. The United States Environment Protection Agency uses mitigation banking system to reduce the overall damage done to a region by a capital or development project. Mitigation banking is a practice in which an environmental enhancement and preservation project is conducted by a public agency or private entity (“banker”) to provide mitigation for unavoidable wetland impacts within a defined region (mitigation service area). The bank is the site itself, and the currency sold by the banker to the impact permittee is a credit, which represents the wetland ecological value equivalent to the complete restoration of one acre. The number of potential credits permitted for the bank and the credit debits required for impact permits are determined by the permitting agencies ^[30]. This concept can be adapted to India, which

²⁷ Environmental Monitoring and Management Plans. (2019, September 27). IADC Dredging.

<https://www.iadc-dredging.com/subject/environment/environmental-monitoring-management-plans/>

²⁸ Milman, O. (2016, October 27). Gladstone dredging project “not consistent with best practice.” The Guardian. <https://www.theguardian.com/world/2014/may/09/gladstone-dredging-project-not-consistent-with-best-practice>

²⁹ Dredging Today. (2012, June 4). Greens: Gladstone Harbour toxicity levels threaten marine life, Australia. <https://www.dredgingtoday.com/2012/06/04/greens-gladstone-harbour-toxicity-levels-threaten-marine-life-australia/>

³⁰ Florida Department of Environmental Protection. (n.d.). Mitigation and mitigation banking. <https://floridadep.gov/water/submerged-lands-environmental-resources-coordination/content/mitigation-and-mitigation-banking>

has a structured approach to ensuring the sustainability and safety of projects through firm control over the permits issued.

We can also consider alternatives and practices to reduce the frequency of maintenance dredging such as sediment bypass system^[31] and sediment trapping^[32]. The study of sediment flow analysis will be helpful in understanding the sedimentation pattern in a particular area which can help in future planning for development projects.

Conclusions

A crucial aspect of sustainable dredging is comprehensive stakeholder analysis. Understanding and addressing the concerns of various stakeholders—including local communities, environmental groups, industry players, and government agencies—ensures that the diverse impacts of dredging are considered and mitigated. Effective stakeholder engagement fosters transparency, builds trust, and facilitates the identification of potential conflicts and synergies, leading to more informed decision-making. By incorporating stakeholder perspectives, dredging projects can balance economic growth and ecological preservation, ultimately promoting long-term sustainability and community support. The UDA Framework will be beneficial for understanding stakeholders and policy development; however, determining the precise implementation requires further research.

The structured dredging process in India stands to benefit significantly from the inclusion of stakeholder analysis and the adoption of Marine Spatial Planning (MSP). Stakeholder analysis ensures that the interests and concerns of all parties, including local communities, environmental groups, industry representatives, and government agencies, are considered and addressed in the dredging process. This inclusive approach can lead to more sustainable and socially acceptable outcomes. Marine Spatial Planning (MSP) offers a systematic and holistic method for organizing the use of marine space, balancing ecological, economic, and social objectives. By adopting MSP, India can optimize the allocation of marine resources, reduce conflicts among different marine users, and enhance the protection of critical marine habitats.

In addition to these strategic frameworks, monitoring sediment flow patterns is crucial for understanding the factors influencing sediment dynamics. This information is vital for planning effective dredging operations and minimizing adverse environmental impacts. Advanced technologies, such as Artificial Intelligence (AI) and Machine Learning (ML), can play a pivotal role in analyzing large datasets, predicting sediment behavior, and optimizing dredging schedules. The use of unmanned hydrographic survey vessels represents another technological advancement that can enhance the efficiency and safety of dredging operations. These vessels can conduct precise and detailed underwater surveys, providing real-time data on seabed conditions without the need for human operators in potentially hazardous environments.

³¹ Sumi, T., & Kantoush, S. A. (2011, June). Comprehensive sediment management strategies in Japan: Sediment bypass tunnels. In Proceedings of the 34th World Congress of the International Association for Hydro-Environment Research and Engineering, 33rd Hydrology and Water Resources Symposium and 10th Conference on Hydraulics in Water Engineering Valentine. Barton, Australia: Engineers Australia.

³² Mekonnen, M., Keesstra, S. D., Stroosnijder, L., Baartman, J. E., & Maroulis, J. (2015). Soil conservation through sediment trapping: a review. *Land degradation & development*, 26(6), 544-556.

We can also understand from the data gathered that the majority of ports in India use direct oceanic disposal for the sediment obtained from maintenance dredging. A study on finding economic uses of these sediments is crucial. We need separate studies on sediments from each port to understand their unique properties, which can vary significantly depending on local conditions and activities. These studies will enable us to identify suitable economic uses, such as construction materials, coastal restoration projects, or land reclamation, thus reducing the economic pressure on the ports. Given that dredging operations are generally expensive, repurposing these sediments effectively can also contribute to environmental sustainability by minimizing the need for ocean disposal and its associated impacts. This approach aligns with broader efforts to enhance the efficiency and sustainability of maritime infrastructure development in India.

Environmental considerations are crucial, given the sensitivity of tropical ecosystems. Sustainable disposal methods and promoting the reuse of dredged material can support land reclamation and agriculture. By balancing economic development with environmental conservation, India can achieve sustainable dredging practices that support its trade and infrastructure needs.